| Name of Policy: College of Medicine and Life Sciences: <u>Medical</u> <u>Student Grading</u> , Academic Promotion, Grading <u>Criteria</u> , Remediation &, Re-examination, <u>Remediation</u> , Dismissal in the MD Programl, Due <u>Process</u> , and Appeals  |                                   |  | THE UNIVERSITY OF TOLEDO          |                                 |
|--|-----------------------------------|--|-----------------------------------|---------------------------------|
| <b>Policy Number</b> : 3364-81-04-013-02   |                                   |  | Effective date: 11/6/23           |                                 |
| Approving Officer: Dean, College of Medicine and Life Sciences   |                                   |  | Original effective date: 08/25/85 |                                 |
| Responsible Agent: Senior Associate Dean for Undergraduate Medical Education, Assistant/Associate Dean for Foundational Sciences. Associate Dean for Clinical Undergraduate Medical Education and Senior/Associate Dean for Student Affairs  Scope: College of Medicine and Life Sciences M.D. Program |                                   |  |                                   |                                 |
| New po   | plicy proposal                    |  | Minor/techni                      | cal revision of existing policy |
| Major  | Major revision of existing policy |  | Reaffirmation of existing policy  |                                 |

#### (A) Policy statement

All medical students are responsible for understanding University of Toledo College of Medicine and Life Sciences (COMLS) policies, standards, and procedures regarding academic promotion, grading system, re-examination, academic remediation, and dismissal and grading system. Students are expected to achieve an appropriate level of academic performance as defined by the faculty in the COMLS, and to demonstrate reasonable, continued progress in the academic programs of the curriculum. Students subject to adverse action are entitled to due process and appellate rights as outlined below.

## (B) Purpose of policy

To codify the standards for maintaining good academic standing and meeting the requirements for satisfactory academic process and promotion in the COMLS, as well as the policy and procedures for remediating and participating in re-examination of deficiencies and for appealing any adverse actions related to academic performance and standing.

## (C) Scope

This policy applies to medical students in the COMLS-M.D. Program as well as all COMLS components of the combined MD Programs such as MD-PhD, MD-MBA, and MD-JD.

#### (D) Procedure

A document displaying the performance data of all students will be screened on a regular basis regularly by the academic progress committee Academic Progress Committee (APC). All aspects of student performance are assessed, including the student's knowledge, technical and interpersonal skills, attitudes, and professionalism (i.e., both cognitive and non-cognitive abilities). If students are not achieving satisfactory academic progress, the medical student promotions committee Medical Student Promotions Committee (SPC) will review the total student performance record, interview the student, and determine if further intervention is needed. The medical student promotions committee SPC may determine that remedial work or repetition of one or more curriculum components is needed, or that the student should be dismissed. The decision may be appealed to the Dean of the COMLS.

Students will be given the general objectives, methods of evaluation, and criteria for successful completion at the beginning of each curriculum component. Grading shall be based on the extent to which a student accomplishes specified educational <u>objectives-goals</u> and/or achieves expected levels of clinical competency. Assessments/examinations will be designed to measure the educational program objectives specified in each syllabus.

# Foundational Science Curriculum (Pre-clerkship Phase) GRADING

The results of all evaluations will be submitted to the Assistant/Associate Dean for Foundational Science Education. Students will not be promoted to a new academic year until all requirements of the previous year have been successfully completed.

#### **Good Academic Standing**

Good Academic Standing in years 1 & 2 is defined as the absence of any outstanding grades of Fail, Unsatisfactory, Defer Grade, Incomplete, or Withdraw Fail.

#### **Grade Criteria s**

COMLS employs a P-F (Pass-Fail grade) grading system for all components of <a href="the-pre-clerkship phase.">the pre-clerkship phase.</a>
both the Foundational Science Curriculum and Principle of Clinical Medicine curriculum. Grading on the P-F system may be postponed through <a href="the-use of a Cconditional grade">the-use of a Cconditional grade</a> (DF-Defer). A <a href="deferred">deferred</a> grade assigned in any curricular component will be recorded on the student's official transcript until all requirements of the course are completed, at which time it will be changed to a Pass or a Fail grade, as appropriate.

\*The grading system, its requirement, components, and their respective weights will be communicated in the syllabi for each of the Threads listed below. The grading system will overall be consistent across all Threads with 80% of the grade consisting of the end-of-system summative exams, quizzes, team-based learning, PCM, other assignments or projects, and experiential learning components of the Thread, and the remaining 20% will consist of the NBME comprehensive exam to be taken at the completion of each Thread.

# \*Threads are graded Pass/Fail:

<sup>\*</sup>Thread 1:Cellular Disease

-Thread 2: Bone-Neuro-Behavior

Thread 3: ECOsystems

Thread 4: Cycles & Vices

\*Please note for additional explanation: Each Thread is a course and represents a series of Systems.

For Example, Thread 1: Cellular Disease (CELL 780), constitutes a series of systems (i.e., Human Blueprint, Hematology & Oncology, Immunity, and Infectious Disease).

#### **Grade Criteria:**

#### 1. Pass/Fail (P/F) (P/F)

- a. For all threads, a student must achieve a minimum of 70% to post a passing (P) grade.
- b. If a student achieves between 60-69%, s/hethey is are eligible for re-examination and must achieve a 70% on the re-examination assessment to achieve a passing (P) grade.
- c. If a student earns a final grade **below 60%** in any Thread, the student is **NOT** eligible for re-examination and may not proceed to the next system or thread.
- d. A student's **final** <u>course</u> <u>Thread</u> score will be expressed as an integer value. Any decimal value will be truncated. There is **NO** rounding of the earned final grade.
- e. If a student fails to achieve a passing (P) grade in <u>a coursethe thread</u>, the student is required to take an assessment that will evaluate content from any system in which they received a score of <70% for the final system grade.
- f. Students are required to achieve a minimum score on the NBME customized exam at the end of each Thread in order to pass the Thread: minimum of 50% correct at the end of Thread 1; minimum of 55% correct at the end of Thread 2; minimum of 60% correct at the end of Thread 3; minimum of 65% correct at the end of Thread 4. If a student fails to achieve the minimum required percent correct, s/he will be required to complete a retake NBME customized assessment and attain the minimum required percent correct on the retake to ensure mastery of the content from the Thread.
- g. Students are required to independently pass the Integrated Clinical Skills Exam (occurs at the end of each thread), and anatomy assessment for each thread system as applicable (details available within the syllabus).

#### 2. Satisfactory/Unsatisfactory (S/U)

A grading system of satisfactory/unsatisfactory will be used for the individual systems that constitute a <u>courseThread</u>.

- a. A satisfactory grade requires that students meet the **minimum level of 70%** at the completion of after each system within a Thread.
- b. If students do not meet the minimum performance standards, they will be given a grade of unsatisfactory.
- c. The unsatisfactory can change to a satisfactory grade under the following circumstances:

- The student demonstrates competence by passing (P) the overall courseThread.
- The student attains a 70% on the retake assessment designed by the system director.
   Students must meet with the system director to determine timing and structure of the re-examination.

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Example scenario: If a student scores a 65% in a thread, they will receive a temporary grade of Defer (DF), which is given until re-examination of targeted content in a thread has been completed. The system(s) in which the student underperformed within a thread will be included in the re-examination process, under the direction of all system directors involved. If a student has passed all the systems within the thread, yet fails the thread, the Associate Dean for Foundational Sciences Education will meet with the student to design a plan for reassessment, which may include an additional NBME assessment to demonstrate mastery of content. Once the student posts a passing score during reexamination for that thread, the (U) will be changed to a (S) for the system grade, and the (DF) will be changed to a Pass (P) for the thread grade.

All pre-clerkship electives will follow the same grading criteria of Satisfactory and Unsatisfactory as the systems within each Thread.

## 3. Incomplete grades (I)

An **incomplete** will be given when a student does not complete all requirements for a Thread course within the specified time. If the **incomplete** results from an excused absence (e.g., illness, family emergency) and work is are completed two weeks prior to before when the course Thread is offered again, the incomplete will be removed from the permanent record and a final grade of a P/F will be assigned, as appropriate, will be assigned. If a student is unable to complete the requirements of the course Thread before the next offering, a grade of withdraw pass or withdraw fail will be assigned.

#### 4. Defer grade (DF)

A <u>defer\_deferred</u> is a conditional grade that is assigned should a student need to be re-examined in any curricular component. A DF will be recorded on the student's official transcript until all requirements of the course are completed, at which time it will be permanently changed to a Pass or a Fail grade, as appropriate.

#### 5. Withdrawal grades

a. If a student wishes to withdraw from a <u>coursethread</u>, written permission must be obtained on forms available in the Office of Student Affairs or the Registrar's Office. This form must be signed and dated by the Associate Dean for Foundational Sciences <u>Curriculum Education</u> and the Assistant/Associate Dean for Student Affairs and then returned to the Registrar. If this form is completed within the first third of the grading period for any given <u>courseThread</u>, a grade of <u>withdraw withdrawal</u> will be recorded for that Thread.

b.—A student who withdraws after that date from any course Thread will be assigned a grade of withdraw pass or withdraw fail based upon the student's grade at the time of withdrawal. A grade of withdraw, withdraw pass, or withdraw fail will remain on a student's transcript even after the course<del>Thread</del> is successfully completed. To complete a course<del>Thread</del> from which a student has withdrawn, the entire course must be repeated during the next course offering.

### Criteria for Monitoring and Intervention by Academic Progress Committee (APC)

- A student's performance will be monitored by the APC if their performance falls below 75% on any assessment.
- Failing to achieve an overall 70% (passing grade) in any system will result in specific recommendations for intervention by the Academic Enrichment Center (AEC).

The academic coordinator for the thread will compile a list of all grades at the end of each system and will send this list to the Chair of the APC. A summary of this discussion will be sent to the Associate Dean for Student Affairs and to the student. The students will meet with the Associate Dean for Student Affairs or his/her representative to discuss the remediation plan.

#### a. Good Academic Standing

Good Academic Standing in years 1 & 2 is defined as the absence of any outstanding grades of Fail, Defer Grade, Incomplete or Withdraw Fail.

b.

# **Foundational Science Curriculum RE-EXAMINATION**

#### **Re-examination Policy**

Students receiving a grade of fail in the foundational science curriculum in the first (M1) or second (M2) year-but scored 60% or greater will be allowed to participate in the re-examination of the failed component(s) during the same academic year or in the transitional weeks (i.e., summer recess) leading into the next academic year.

Students scoring below 60%, or students who fail re-examination, will be reviewed by the Medical Student Promotions Committee for possible dismissal.

1. Re-examination testing must be postponed until a scheduled break (summer recess for Threads 1-2 & USMLE study for Threads 3-4) to prevent students from falling behind in on-going work in the current course. Students will be allowed to progress into the succeeding Thread within the same academic year until re-examination is completed and a final score is posted. Students are not permitted to matriculate into the next academic year until all course requirements have been met of the current academic year.

**Example:** A student who receives a DF grade in Thread 1 has permission to continue studies into Thread 2 of the M1 year. Once Thread 2 is completed (end of M1 year), the student will undergo

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re-examination to post a final grade. Matriculation into Thread 3 is not permitted until all curricular components have been met.

—Students Failing a Thread will be contacted by the Associate Dean for Foundational Sciences Education to discuss, in collaboration with the systems co-directors, a plan of action for study, and the components requiring re-examination. The plan of action must involve the AEC and will be approved by the Associate Dean of Foundational Sciences Education and presented to and discussed with the APC.

#### **Procedures for Re-examination**

- 1. Final decisions regarding participating in re-examination of failing grades during a scheduled break is under the direction of the Associate Dean for Foundational Sciences Education.
- a. To facilitate such decisions, Systems co-directors will work in concert with the Associate Dean for Foundational Sciences Education within seven working days following the last examination of the system: (a) a list of all student performances (i.e., numerical scores) for each component of each Thread, and a summary of the class performance; (b) a list of all students given an interim grade of DEFER, their current numerical score, and the nature of the Thread material to be completed.
- 2. For those students participating in re-examination of failing grades, the Associate Dean for Foundational Sciences Education will work with the appropriate systems co-directors to provide a pathway for re-examination during a scheduled break in the curriculum.
- a. For M1 students, re-examination will be planned and facilitated during summer break, but not later than three weeks prior to the beginning of the next academic year. Scheduled re-examination dates will be posted along with the academic calendar each year.
- b. For M2 students, re-examination will occur during the planned USMLE dedicated preparation time starting in the spring of the M2 year and will be posted along with the academic calendar each year. Students will not be permitted to begin Step 1 preparation or to take the USMLE Step 1 exam and begin the clinical clerkships until all required foundational science curricular elements are completed with a pass (P) grade.
- 3. Dates for re-examinations will be published with the academic schedule each year. These dates are not negotiable. Excused absences for these exams should only be granted due to documented illness, personal emergency, or religious observances.
- 4. A Students are student is not permitted to attend and/or pass a course at another institution to fulfill the requirements for successfully participating in the re-examination of a course. A student undertaking remedial studies must be re-examined by the COMLS faculty to establish competence, even if the student chooses to attend summer courses at another institution.
- 5. The Associate Dean for Foundational Sciences Education will notify the students, the Assistant/Associate Dean for Student Affairs, and the registrar whether or not a student has successfully met the requirements for re-examination. If the student achieves a passing score on the re-examination attempt, the interim grade of DEFER will be changed to a permanent grade of PASS. The transcript will indicate whether a curricular component was re-examined.

6. If a student fails any component of the re-examination process, the interim grade of DEFER will be changed to a FAIL grade on their transcript and will be required to meet with the Medical Student Promotions Committee. The final decision on repeating a course or academic year resides with the Medical Student Promotions Committee.

# Policy regarding passing of USMLE Steptep 1 (Transition from M2 → M3 year)

Students must be in good academic standing post a passing grade for for the entire Foundational Science curriculum before taking the USMLE Step 1.

To start the M3 academic year, Each member each student is required of the M2 class who successfully completes the year 2 curriculum is required to take Step 1the examination by athe designated deadline each spring year (typically no later than one week prior tobefore the start of the Bridge to Clerkships course). to start the M3 academic year.

Any student who has not taken Step 1 one week <u>prior tobefore</u> the start of <u>the M3 academic</u> year will be placed on a leave of absence <u>(LOA)</u> until their exam is complete and <u>subsequentlywill</u> be removed from their clerkship track. Once they complete Step 1, they will meet with an OSA Dean to discuss entry into <u>the M3</u> year as clinical capacity allows.

Students who have successfully completed the <u>year 2M2</u> curriculum and have taken <u>the USMLE</u> Step 1 are permitted to be enrolled in a clerkship while awaiting the receipt of their <u>USMLE Step 1</u> score. However, official promotion to the third year of <u>the medical school</u> is dependent on earning a passing score on the <u>USMLE Step 1</u>.

If a student receives a failing score on the USMLE-Step 1, the student may finish the clinical clerkship in which they are currently enrolled and have the option of taking or deferring the NBME subject examination.

The student will have two additional opportunities to post a passing <a href="Step 1">Step 1</a> score <a href="Step 1">on the USMLE Step 1</a> exam. Failure to sit for the exam or post a passing score after three attempts or delaying past one calendar year after completion of the M2 year will result in automatic dismissal from the COMLS.

A Student who does not take or pass Step 1 as described above must meet with an OSA Dean for advising and counseling if there will be a delay in the start of clinical clerkships. The student, The student must work with the OSA and may consult with the Department of Medical Education to develop a program of accountability and progress towards toward. Step 1 preparation. A plan of action will be developed in consultation with the AEC—and with oversight by OSA. The plan of action must and must include a detailed timeline and individual learning plan. -This plan must include weekly and monthly milestone markers for continued follow-up (return and report) to gauge readiness and assess if further intervention is required to provide meaningful academic support and positive progress. -The student will meet with an OSA Dean on a monthly basismonthly and will attend no less than weekly scheduled meetings with the AEC—and monthly scheduled meetings with OSA.

# <u>Clinical (Clerkship) Curriculum & : Clerkship and Advanced Clinical Experience</u> <u>Phases</u>

All grades will be submitted to the registrar, who will in turn forward appropriate information to the Associate Dean of Clinical Undergraduate Medical Education and the Senior\_+Associate Dean for Student Affairs.

# Rectification of **Defer-DEFER (DF)** Grades

- 1. National Board of Medical Examiners (NBME) subject exam
  - -\_\_\_:Students receiving a DEFER\_DF grade in a required clerkship of the third academic year due to failure of a an National Board of Medical Examiners NBME -subject exam must repeat the failed subject exam before August 31st of the M4 year4th year. Failure to do so, without permission of the Academic Progress Committee (APC), will result in the DEFER\_DF grade being converted to a FAIL grade.
  - If the student achieves a **passing score on the second attempt** of the subject exam, the interim grade of <u>DEFER DF</u> will be changed to a permanent grade of PASS; a grade of PASS is the highest grade that a student can receive <u>after in a clerkship after failing</u> the initial attempt on the <u>NBMEnational board subject exam</u> and <u>will appear on the transcript</u>.
  - 1.\_\_If the student passes the national board subject exam on the second attempt, only the permanent grade of PASS will appear on the transcript. The initial grade of DEFER, however, will remain on the student's permanent record. If the student fails the second attempt of the national board subject exam in a discipline, the DEFER\_DF grade will be changed to a FAIL and the FAIL grade will remain on the transcript even after remediation of the Failed clerkship, as detailed below.
- 2. Professionalism: a fail in the professionalism competency will result in a grade of DEFER-DF until professionalism is remediated as specified in the due process policy <a href="https://www.utoledo.edu/policies/academic/college">https://www.utoledo.edu/policies/academic/college</a> of medicine/pdfs/3364-81-04-017-01.pdf. After successful remediation, the DEFER grade will be changed to a PASS.
- 3. Practice based Practice-based learning: a fail in this competency will result in a grade of DEFER-DF until remediation occurs as specified in the due process policy <a href="https://www.utoledo.edu/policies/academic/college">https://www.utoledo.edu/policies/academic/college</a> of medicine/pdfs/3364-81-04-017-01.pdf. —After successful remediation, the DEFER-DF grade will be changed to a PASS.

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4. **Interpersonal communication:** a fail in this competency will result in a grade of <u>DEFER DF</u> until remediation occurs as specified in the due process policy

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https://www.utoledo.edu/policies/academic/college of medicine/pdfs/3364-81-04-017-01.pdf. After successful remediation, the DEFER-DF grade will be changed to a PASS.

# **Remediation of FAILED Clerkship Grades**

FAIL on the second attempt to pass the NBME subject exam can only be remediated repeating the clerkship in its entirety. by the following:

- 1. Successfully complete a required remediation clerkship (4 weeks duration). During this remediation clerkship, students will participate in clinical care and then retake the NBME exam. all aspects of the clerkship.
  - Students will have two final opportunities after completion of the remediation clerkship to pass the NBME subject examination. Failure to attain a passing score will be considered as the second failure of the clerkship.

A failed clerkship must be repeated before August 31<sup>st</sup> the M4 year. The highest grade that can be achieved for the remediation clerkship is a PASS. The original clerkship grade of fail and the required remediation clerkship grade will both appear on the student's transcript. The remediation clerkship will appear as such on the transcript.

2. If a student fails the patient care competency the entire clerkship must be repeated.

# Policy regarding the passing of USMLE step 2CK

All students are required to pass the USMLE Step 2 CK (Clinical Knowledge) to graduate. Students will have **three** opportunities to pass Step 2CK. Failure to pass after three attempts or take the exam over a maximum period of one year will result in automatic dismissal from UTCOMLS.

# **Academic Progress Committee (APC):**

# **Remediation of FAILED Clerkship Grades**

In the required third year clerkships, a grade of FAIL can only be remediated as follows:

1. FAIL on the second attempt to pass the NBME exam: student must successfully complete a required remediation clerkship (4 weeks duration). During this remediation clerkship, student will participate in clinical care and then retake the subject exam. Students have two final opportunities after completion of the remediation clerkship to pass the National Board of Medical Examiners subject examination. Failure to attain a passing score will be considered as the second failure of the clerkship. A failed clerkship must be repeated before August 31st of the 4th year. The highest grade that can be achieved for the remediation clerkship is PASS. The original clerkship grade of fail and the required remediation clerkship grade will both appear on the

student's transcript. The remediation clerkship will appear as such on

2.—FAIL on patient care competency: student must repeat the entire clerkship.

# Criteria for monitoring and intervention by Academic Progress Committee

- A student who has received a DEFER or FAIL grade in a clerkship will be reviewed and discussed by the <u>Academic Progress Committee APC</u>.
- If a student has 2 DEFER grades and/or FAIL grades in the clerkship year, the student will not be able to continue in the clerkship year and will be reviewed by the Academic Progress Committee as described in policy 3364 81 04 007 00
   Satisfactory Academic Progress in the Required Clinical Clerkships.pdf (utoledo.edu)

# Student Promotions Committee (SPC): Criteria for monitoring and intervention and possible academic dismissal by Student Promotions Committee/Academic Dismissal

A student will be <u>subject to mandatory review reviewed</u> by the <u>SPC student promotions committee</u> for possible <u>academic</u> dismissal <u>for the following conditions</u>if <u>he/she</u>:

- Has not successfully completed years 1 and 2 within 36 months of initial enrollment\*\*
- Scored below 60% in any Thread in the Foundational Science Curriculum.
- Receives 2 or more grades of FAIL or WITHDRAW FAIL during the Foundational Science Curriculum.
- Scored below 60% in any Thread in the Foundational Science Curriculum.
- ——— Has not successfully completed year 1 and 2 within 36 months of initial enrollment\*\*
- Receives eceives two or more grades of FAIL in clinical clerkships
- Receives two or more than two grades of (DF) or (I) in an academic year

\*\*Note: The sole exception involves students on approved Leave(s) of Absence (LOAs) for which the 36-month and six-year deadlines will be extended by the length of the approved LOA. This will need to be reviewed and approved by the Academic Progress Committee.

# Policy regarding passing of USMLE step 2CK

All students are required to pass the USMLE Step 2 CK (Clinical Knowledge) to graduate. Students will have **three** opportunities to pass USMLE Step 2 CK. Failure to pass USMLE Step 2 CK after three attempts or take the exam over a maximum period of one year will result in automatic dismissal from UTCOMLS.

## **Academic Dismissal**

A student will be subject to mandatory review by the student promotions committee for possible dismissal if he/she:

- Receives 2 or more grades of FAIL or WITHDRAW FAIL during the Foundational Science Curriculum.
- Scored below 60% in any Thread in the Foundational Science Curriculum.
- Has not successfully completed year 1 and 2 within 36 months of initial enrollment\*\*
- Receives two or more grades of FAIL in clinical clerkships
- Has not successfully completed all the requirements for the Doctor of Medicine degree within six years\*\*

\*\*Note: The sole exception to this involves those students on approved Leave(s) of Absence for which the 36-month and six-year deadlines will be extended by the length of the approved Leave(s) of Absence. This will need to be reviewed and approved by the Academic Progress Committee.

## **Maximum Length of Enrollment**

In no case will the time for completing all graduation requirements be extended beyond a maximum of seven years, regardless of the approved Leave(s) of Absence or other circumstances.

These policies do not apply to curricular components graded as CREDIT/NO CREDIT, or college of graduate studies courses. Students who are being reviewed by the student promotions committeeSPC for possible dismissal may continue enrollment pending the review of the committee and a final decision by the dean of the college College of Mmedicine. –Students who are being reviewed by the medical student promotions committee SPC for possible dismissal are not eligible to attempt re-examination/remediation. All failed courses must be repeated.

#### **Maximum Length of Enrollment**

In no case will the time for completing all graduation requirements be extended beyond a maximum of seven years, regardless of the approved Leave(s) of Absence or other circumstances.

# **Due Process and Appeal of Academic Dismissal**

The Student Promotions Committee (SPC) will schedule annual meetings each year to review M1-M4 and M2 sstudents, as needed. Students in clinical training (M3 and M4) that require a meeting with the Student Promotions Committee will be scheduled as needed. The SPC committee offers the student the opportunity to engagemeet with the committee and provide them with information that mayight be helpful in the formulation of athe decision regarding possible dismissal.

# Below are the procedural steps that a student can expect:

1. Process prior to Before and during the hearing with the Committee:

<u>a.</u> Notify the student in writing of the potential sanction that the committee will consider and the matters that will be brought <u>forwardbefore the committee</u>. <u>If the matter before the committee</u>

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- could result in a recommendation of dismissal from UTCOMLS, then the notice will inform the student of that possibility.
- b. Provide the student in writing any relevant information and/or evidence that the UTCOMLS
  plans to bring or will be considered by the committee relating to the matter, including any
  exhibits, witnesses, and any other similar information.
- c. Notify in writing specific protocols to be followed in the hearing and provide a copy of this policy to the student.
- a. Provide the the dd ate, time, and location of the hearing, as well as the composition of the hearing committee at least five (5) business days in advance of the hearing. If the matter before the committee could result in a recommendation of dismissal from UTCOMLS, then the notice will inform the student of that possibility. The student will be given the opportunity and is urged to appear before the committee to fully present their his/her pposition on the information. The student may waive the right to such appearance in his/her sole discretion.

<u>d.</u>

- b. Provide the student (by way of a statement or other summary) any relevant information or evidence that the UTCOMLS plans to bring or that will be considered by the committee relating to the matter before the committee, including any exhibits, witnesses, and any other similar information for the committee. All written materials will be made available to the student at least three (3) business days prior tobefore the hearing along with a list of witnesses or faculty members who will be appearing.
- c. Notify in writing the student of the specific protocols to be followed in the hearing and to provide a copy of this policy to the student.

<u>e.</u>

d. If the student desires to distribute written materials to the committee members, the student must present them at least **three (3) business days** prior to the meeting.

f.

- e. Keep minutes of the hearing.
- g. The student is Advise the student that the student is permitted to have a faculty member or fellow student attend the hearing as their his/her advisor, but that legal counsel will not be permitted.
- h. BothUTCOMLS, UTCOMLS and the studentstudent, and any other witness will be permitted to make any statement relevant to the issue(s) matter and present information pertinent to the matter being addressed.
- 2.i. The student, and the UTCOMLS as well as any other witness will be permitted to make a statement and present any information pertinent to the matter before the committee and to answer any questions posed by any member of the committee. Keep minutes of the hearing
- 3. Any recommendation for sanctions up to and including dismissal from UTCOMLS will be based exclusively on the information received or presented at the hearing. The hearing is not and should not

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be construed to be a legal trial. Both UTCOMLS and the student will be permitted to make any statement relevant to the issue(s) being addressed. The student, and the UTCOMLS as well as any other witness will be permitted to make a statement and present any information pertinent to the matter

before the committee and to answer any questions posed by any member of the committee.

4.1.If the student desires to distribute written materials to the committee members, the student

5. Any recommendation for sanctions up to and including dismissal from UTCOMLS will be based exclusively on the information received or presented at the hearing.

must present them at least three (3) business days prior to the meeting.

Upon completion of the hearing, the committee will, by majority vote, agree to a decision about the issue before the committee. The decision shall be reduced to a written statement of findings and actions signed by the chair of the committee and delivered to the student and to the Dean of the College of Medicine and Life Sciences within ten (10) business days after the hearing.

#### Appeal

- a. The Student may appeal an automatic dismissal or the committee's decision to the Dean of the College of Medicine and Life Sciences. If the student promotion committee's SPC's decision was dismissal from UTCOMLS, the student will not be able to attend any MD classes courses and/or clerkships (foundational science or clinical) if the student is submitting an appeal to the Dean.
- b. <u>Procedure:</u> A written request for appeal must be received within **fifteen (15) calendar days** following the issuance of the written recommendation, or any further right to appeal is waived.
- e. The Dean of the College of Medicine and Life Sciences will personally:
  - i. Personally, review all the evidence presented in the hearing (including minutes and any available transcripts and exhibits), which may include a meeting with the Student to discuss the applicable process matters raised by the Student (if any), the specific concerns regarding the issues raised by the setudent regarding promotion, re-examination, remediation, or academic dismissal; and
  - <u>ii.</u> <u>a</u>Appoint an *ad hoc* committee of any number, which may include individuals from outside the <u>COMLSCollege of Medicine</u>, to hear the <u>s</u>Student's appeal and make a recommendation to the Dean, <u>and</u> which may also include a meeting with the <u>s</u>Student.
- d. Upon review of the evidence presented and the concerns raised by the <u>s</u>Student, and upon receipt of the *ad hoc* committee's written recommendation, the Dean of the College of Medicine and Life Sciences may consider the recommendations of that panel, and may choose to uphold, reverse, or

9/20/18

2/11/19

1/03/20

9/28/20

5/4/21

9/14/22

4/26/23

6/19/23

11/6/23

• 1/13/21

return the findings and recommendations to the original committee for reconsideration of some or all their findings or recommendations.

e. The Dean of the College of Medicine and Life Sciences will provide the decision regarding all findings to the Student within no later than **45 days from the date <u>in-on</u>** which the appeal was first filed by the sStudent. The decision of the Dean of the College of Medicine and Life Sciences will be final.

## Approved by:

#### <del>/s/</del>

Christopher Cooper, M.D. Dean, College of Medicine and Life Sciences

# November 6, 2023

Date

Review/Revision Completed by:

Senior Associate Dean for Undergraduate Medical Education,

Associate Dean for Foundational Sciences

# **Policies Superseded by This Policy:**

3364-81-04-013-01

Original effective date: 08/25/85

#### **Review/Revision Date:**

- 08/25/85
- 08/25/86
- 08/13/87
- 08/22/89
- 08/15/90
- 11/19/91
- 02/24/94
- 07/01/95
- *08/01/96*
- 08/17/00
- 08/17/01
- 07/16/0309/17/04
- 11/18/05
- 12/01/05
- 12/08/05
- 08/08/06
- 01/02/07
- 7/29/10
- 10/26/11
- 4/29/13
- 10/15/13
- 6/13/17

**Next review date: November 6, 2026** (three years from <u>the</u> most recent revision/review date)